

# BNY ARCHER'S OMS CAPABILITIES

By working with BNY Archer, managers can ensure connectivity regardless of which order management system they use.

While many of our clients utilize BNY Archer's order management system (OMS) for equity retail trading, we also make it easy for asset managers to have a seamless experience while using their existing third-party OMS. This connectivity allows investment management firms to follow their own trading rules, interact with preferred brokers, and maintain their best execution process.

Today, BNY Archer is connected to major order management systems, including Charles River, Blackrock Aladdin, Eze, Investor Tools Perform, Bloomberg AIM, and RedBlack. For asset managers using another OMS, the team at Archer can build out the requirements to connect, ensuring that the trading team is not disrupted.

Many new clients ask us what the experience is like for a manager using a third-party OMS. Here's what to expect.

## A Customized Process

BNY Archer sends a start of day ("SOD") file each morning with positions and cash so individuals understand what actions need to be taken. We work with each asset manager to create SOD files customized to the OMS requirements. For instance, one OMS may require us to send four separate files, and other ones may require just two.

## Flexibility

In cases where a manager uses BNY Archer's OMS for a portion of their strategies, we can send certain accounts to their outside OMS while other accounts are traded on Archer. In addition, our technology makes it possible to send intraday files to OMS for cash postings in certain situations, such as a cash deposit greater than \$20K.

## Streamlined Communication

When we receive a trade file and it posts to our system, we will confirm that it's been posted and send out allocations. After receiving an end-of-day execution file back from the OMS, we reconcile the trades back to the custodian and handle trade settlement to help streamline the overall process.

## Guidance on Best Practices

In some cases, when managers have acquired a new line of business or are working to launch new products or expand into new geographic markets, we leverage our experience to provide guidance on best practices for a new OMS. For instance, as one of our clients moved into the Hong Kong market, we were able to leverage processes that we helped other clients develop.

When asset managers work with BNY Archer, they have the flexibility to leverage Archer's OMS or other third-party trading platforms.

## BNY ARCHER'S OMS

Archer's Order Management functionality enables trading teams at investment managers to create securities orders, manage cash, liquidate or balance portfolios, mitigate drift, and harvest gains or losses for an account. Firms that are trading equities in the retail market are more inclined to leverage Archer's OMS capabilities.

Order management draws on the BNY Archer data sources, the investment manager's pre-set preferences for building orders, and the account profiles and groups established by the investment manager's implementation or operations team.

**By working with BNY Archer, asset managers can ensure connectivity, regardless of which order management system they use.**

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